

BLOCK / PLANNING UNIT LEVEL SELF-ASSESSMENT CHECKLIST

Block/Planning unit checklist to be filled for the level from where RI activities are managed and with cold chain point. It might be block itself in some states whereas the states where blocks subdivided into the different planning units and block head quarter works as administrative department only, then this checklist to be filled for the planning unit with cold chain point.

Name of the District: _____

Name of the Block: _____

Name of Planning Unit: _____

Name of Assessor: _____

Designation of the assessor: _____

Date of assessment: _____

Section 1) Programme Implementation

Governance and Accountability

Review Mechanisms at block/planning unit (Respondent – Medical Officer Incharge)

Question	Response
1. How is RI activity reviewed and feedback shared with ANMs at block/Planning unit level? <i>(Multiple answers allowed)</i>	<input type="checkbox"/> Weekly meeting <input type="checkbox"/> Monthly meeting <input type="checkbox"/> Need based <input type="checkbox"/> No mechanism
2. Has representative of ICDS department attended any of the meetings in the last FY?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA

Inter-Sectoral Coordination

Inter-Sectoral Coordination (Respondent – Medical Officer Incharge)

Question	Response
1. Are AAA convergence meetings between ANM, ASHA and AWW being held at subcentre or below level?	<input type="checkbox"/> Yes <input type="checkbox"/> No
2. If yes, frequency of meeting	<input type="checkbox"/> Monthly <input type="checkbox"/> Irregular

Question

Infrastructure and Human Resources (Respondent:Block/ Planning Unit - MOIC)

Mention numbers (no. of health facility in a block/Planning unit) against each question and if information is not available, then mention '0'

Total population of the Block /Planning unit _____

Sub-centres/ HWCs _____

Vacant sub-centres _____

SC with one ANM _____

SC with two ANMs _____

SC with more than two ANMs (Other than delivery points) _____

Cold chain points _____

Total High Risk Areas	Others		
1. Total number of MOs, Supervisors and other staff in the block/Planning unit (Both regular & Contractual)		Sanctioned	Currently posted
	Allopathy MO		
	AYUSH MO (Other than RBSK team)		
	Mid level Health care provider		
	Health Supervisor (HS)		
	Lady Health Visitor (LHV)		
	Public Health Nurse (PHN)		
	Any other supervisory cadre (BPM/BHM, BCPM etc.)		
	Total ANMs posted at PHC/CHC (including delivery points)		
	Total ANMs involved in RI and outreach activities posted at PHC/CHC		
	ASHA		
	AWW		

Supportive Supervision

Supportive Supervision (Respondent: Medical Officer Incharge)

Question	Response
1. How many MOs and health supervisors are involved in RI supervision?	_____
2. Have sub-centres been allotted to the supervisors in the microplan?	<input type="checkbox"/> Yes, all supervisors <input type="checkbox"/> Yes, some supervisors <input type="checkbox"/> No
3. Total number of filled RI supervisory formats available at block/Planning unit for last 3 months	_____ <input type="checkbox"/> No supervisory format in use
4. Is there any documentation regarding State / Divisional / District official visit in last 3 months for RI review?	<input type="checkbox"/> Yes <input type="checkbox"/> No

AEFI and VPD Surveillance

AEFI and VPD Surveillance (Respondent: Medical Officer Incharge)	
Question	Response
1. Is any AEFI case recorded in the AEFI register in the last FY (2018-19)?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No register available
2. When was the training/orientation/sensitization on AEFI reporting and management held for AEFI nodal officer?	<input type="checkbox"/> Within 1 year <input type="checkbox"/> 1 year – 3 years <input type="checkbox"/> >3 year <input type="checkbox"/> No training held/No nodal officer
3. Is the last AEFI case reported in 2018 in block/planning unit documented in corresponding week VPD- H002 report?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA (This is not a reporting unit/No AEFI case in Jan-Dec 2018)
4. In case of no case reported, is the block/planning unit sending a 'nil' report in VPD H002?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA (This is not a reporting unit)
5. Is the AEFI management kit available and updated at the block/planning unit?	<input type="checkbox"/> Available, updated <input type="checkbox"/> Available, not updated <input type="checkbox"/> Not available
6. Does block/planning unit has reported any suspected measles case in last three years?	<input type="checkbox"/> Yes <input type="checkbox"/> No
7. How many suspected measles outbreak/s have been reported and investigated in the block/planning unit in last financial year?	Suspected Measles outbreak reported _____ Suspected Measles outbreak with completed detailed investigation _____
8. How many laboratory confirmed measles outbreak occurred in the block/planning unit in last financial year?	

Section 2) Logistics & Supply Chain

Logistics & Supply Chain																
Question	Response															
1. Is CCH and additional CCH assigned for the CCP	<input type="checkbox"/> Only CCH assigned <input type="checkbox"/> Both CCH & additional CCH assigned <input type="checkbox"/> None assigned															
2. Primary Cold Chain Handler is trained in	<input type="checkbox"/> VCCH module 2016 <input type="checkbox"/> eVIN <input type="checkbox"/> Both <input type="checkbox"/> None															
3. Number of ILRs and DFs at CCP	<table border="1"> <thead> <tr> <th></th> <th>ILR</th> <th>DF</th> </tr> </thead> <tbody> <tr> <td>Functional</td> <td></td> <td></td> </tr> <tr> <td>Non-Functional</td> <td></td> <td></td> </tr> <tr> <td>In use</td> <td></td> <td></td> </tr> <tr> <td>Beyond economic repair</td> <td></td> <td></td> </tr> </tbody> </table>		ILR	DF	Functional			Non-Functional			In use			Beyond economic repair		
	ILR	DF														
Functional																
Non-Functional																
In use																
Beyond economic repair																
4. Total functional (and in use) CCE with separate functional stabilizer	No. of ILR _____ No. of DF _____															

5. Is the temperature recorded twice daily for all in use CCEs?	<input type="checkbox"/> Yes , on all days (including Sundays/holidays) <input type="checkbox"/> Yes , on working days only <input type="checkbox"/> No			
6. Are there records for cleaning of ILR/DF and defrosting of DF maintained in temperature logbook	<input type="checkbox"/> Yes <input type="checkbox"/> No			
7. Are the vaccines stored as per guideline in ILR	<input type="checkbox"/> Yes <input type="checkbox"/> No			
8. Are any open vials found inside ILR without date of opening or beyond 28 days from date of opening	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA			
9. How are vaccines distributed from the cold chain point to outreach session sites? (Do not prompt for options) (Multiple answers)	<input type="checkbox"/> AVD till session site <input type="checkbox"/> AVD drops vaccine carrier at sub depot and ANM/ASHA collects from sub depot point <input type="checkbox"/> ANM collects from CCP <input type="checkbox"/> ASHA collects from CCP <input type="checkbox"/> Others, specify _____			
10. Is the stock register updated for vaccines/diluents/syringes?	All Vaccines: <input type="checkbox"/> Yes <input type="checkbox"/> No All Diluents: <input type="checkbox"/> Yes <input type="checkbox"/> No All Syringes: <input type="checkbox"/> Yes <input type="checkbox"/> No			
11. Number of stock outs of any vaccine (BCG, OPV, Penta, MCV) and syringes in the past 3 months?	Name of vaccine		No. of Stock outs	
	BCG			
	OPV			
	MCV			
	Pentavalent			
	AD 0.1 ml			
	AD 0.5 ml			
5 ml reconstitution syringes				
12. What is the vaccine wastage rate for the mentioned vaccines in last three months? Vaccine Wastage rate = (Doses utilized- doses administered) *100/Doses utilized	Name of vaccine	Number of doses utilized	Number of doses administered	% Wastage
	BCG			
	OPV			
	MCV			
	Pentavalent			
13. Is immunization bio-medical waste returned to CCP from all session sites?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial			
14. Is there a safety pit at CCP?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA, if outsourced			

15. What is the process of immunization waste management?	<input type="checkbox"/> Disposed in safety pit <input type="checkbox"/> Outsourced <input type="checkbox"/> No waste management process <input type="checkbox"/> Others
16. Does the CCH have complete knowledge about disposal of immunization waste?	<input type="checkbox"/> From Red bag <input type="checkbox"/> From Black bag <input type="checkbox"/> For sharps <input type="checkbox"/> none
17. Had the CCT/ Refrigerator Mechanic visited the CCP in the last 3 months for preventive maintenance?	<input type="checkbox"/> Yes <input type="checkbox"/> No
18. Is there any functional power backup available (generator, inverter etc.) for CCE?	<input type="checkbox"/> Yes <input type="checkbox"/> No
19. Is the contingency plan displayed for cold chain and vaccine logistics at Cold Chain Point?	<input type="checkbox"/> Plan for prolonged electricity failure (More than 8 hours) <input type="checkbox"/> Plan for malfunctioning of storage equipment <input type="checkbox"/> No plan available

Section 3) Data Recording & Reporting System

Data Recording & Reporting System	
Question	Response
1. Data Entry Operator (including immunization data) at block//Planning unit level	<input type="checkbox"/> Yes <input type="checkbox"/> No
2. How many Weekly (VPD-H002) reports are available with the block/Planning unit for last quarter?	_____ out of 13 (expected in last quarter) <input type="checkbox"/> This is not a reporting unit
3. In how many VPD- H002 weekly reports serious and severe AEFIs has been filled (Including NIL reporting)?	In____ out of____ available VPD-H002 reports
4. Which platforms/ portal are you using for name-based tracking of beneficiaries of vaccination?	<input type="checkbox"/> RCH <input type="checkbox"/> ANMOL <input type="checkbox"/> State specific portal, _____ <input type="checkbox"/> None
5. Number of villages mapped in RCH/state portal	Number of villages mapped in RCH/state portal _____ Total villages in the block/planning unit _____
6. Number of children (0-1 year) registered in the RCH portal or state specific portal (if applicable) out of the total estimated children in 2018-19?	No. of children (0-1 year) registered in portal _____ Estimated children (0-1 year) in the block/Planning unit _____
7. Is the electricity back up for working computers available?	<input type="checkbox"/> Yes <input type="checkbox"/> No
8. Does the block /Planning unit has updated coverage monitoring chart till last month for relevant antigens (Penta 1 to Penta 3 coverage and left outs)?	<input type="checkbox"/> Yes <input type="checkbox"/> No
9. Total number of SC/ANM area in the block /Planning unit	_____

10. Month wise SC/ANM area MPR available for last completed reported month	_____					
11. How many available MPRs were found complete for M9/Child Immunization section (all indicators) for the last reported month?						
12. Month wise doses administered for Penta 1, Penta 3 for last completed month based on MPR report	Month	Total Penta-1 from all MPR	Penta-1 from block /Planning unit as per HMIS	Total Penta-3 from all MPR	Penta-3 from block /Planning unit as per HMIS	
13. Whether printed due list in local understandable language available?	<input type="checkbox"/> Yes <input type="checkbox"/> No					
14. Whether printed tally sheets in local understandable language available?	<input type="checkbox"/> Yes <input type="checkbox"/> No					
15. Whether printed RCH register in local understandable language available?	<input type="checkbox"/> Yes <input type="checkbox"/> No					
16. Where is MPR report submitted for data entry?	<input type="checkbox"/> PHC <input type="checkbox"/> Self entry		<input type="checkbox"/> Nearby block HQ <input type="checkbox"/> Collected by supervisor		<input type="checkbox"/> District HQ <input type="checkbox"/> No mechanism	

Section 4) Programme Communication

Programme Communication	
Questions	Response
1. Is there a block /planning unit level Communication plan for RI for 2019-20 available?	<input type="checkbox"/> Yes <input type="checkbox"/> No
2. What components of communication are included in the plan?	Advocacy: <input type="checkbox"/> Yes <input type="checkbox"/> No Capacity Building: <input type="checkbox"/> Yes <input type="checkbox"/> No Social Mobilization: <input type="checkbox"/> Yes <input type="checkbox"/> No
3. Is a nodal person designated for IEC at the block/planning unit level?	<input type="checkbox"/> Yes, Designation: _____ <input type="checkbox"/> No
4. Of the total number of SCs/ Urban planning units, how many have submitted communication plan for RI	Total SCs/ Urban planning units _____

	No. of SCs/ Urban planning units who have submitted RI Communication plans _____		
5. Has the BRIDGE training for FLWs been conducted in the block/Planning unit?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
6. If yes, how many FLWs were trained?	Heath worker cadre	Total	Trained
	ANM (Regular and Contractual)		
	ASHA		
	AWW		
7. Are IEC materials on RI displayed in health facility? (Multiple answers allowed)	<input type="checkbox"/> Yes, at health facility <input type="checkbox"/> Not displayed anywhere		